IPN

## PATENT

IN THE UNITED STATES PA	TENT AND TRADEMARK OFFICE
Thre Application of	) Oroup Art Unit: 1616
Evan C. Unger, et al.	) Examiner: TBA
U.S. Serial No. 10/802,919	)
Filed: March 18, 2004	) Atty. Dkt. No. 006086.00020
For: METHODS FOR DELIVERING C	OMPOUNDS INTO A CELL

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O.B. 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is Form PTO/SB/08a listing documents for consideration by the Examiner during the prosecution of the subject application. All cited art was previously disclosed or cited in parent application Serial No. 08,841,169 filed April 29, 1997. Copies of the cited art are available in the parent application.

It is believed that no fee or certification is required. However, if a fee is required, please charge our deposit account no. 19-0733.

Respectfully submitted,

Dated: June 28, 2004

By:

Sarah A. Kagan Reg. No. 32,141

Banner & Witcoff, Ltd. Customer No. 22907

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Action 1995, he persons	are required to respond to a conection	TO HIGH AUGH WHESS IT CONTAINS A VAID COMB CONDO NUMBER	
Substitute for form 1449A/PTO	Complete if Kno		
	Application Number	TBA	
INFORMATION DISCLOSURE	Filing Date	TBA	
STATEMENT BY APPLICANT	First Named Inventor	Evan C. Unger, et al	
	Art Unit	TBA	
(use as many sheets as necessary)	Examiner Name	TBA	

3 eet 1 of 71 Attorney Docket Number 006086.00020

ا <u>ق</u>			U.S. PATENT	OCUMENTS	
examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,846,517	12/08/1997	Unger, et al.	-
		US- 5,705,187	01/06/1998	Unger	
	ŀ	US- 5,773,024	06/30/2998	Unger, et al.	
		US- 5,770,222	06/23/1998	Unger, et al.	
		US- 5,830,430	11/03/1998	Unger, et al.	
	Ĭ	US- 5,997,898	12/07/1999	Unger	
		US- 6,033,645	03/07/2000	Unger, et al.	
		US- 6,088,613	07/11/2000	Unger	
	Ì	US- 6,123,923	09/26/2000	Unger, et al.	
		US- 6,139,819	10/31/2000	Unger, et al.	
		US- 6,143,276	11/07/2000	Unger	
		US- 6,403,056	06/11/2002	Unger	
		US- 6,414,439	07/02/2002	Unger, et al.	
		US-			
		US-			
_		US-			
		US-			
	İ	US-			
		US-			
		US-			

	FOREIGN PATENT DOCUMENTS						
Evaminas	F	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>	
ļ							
<u> </u>							
<u> </u>							

	· · · · · · · · · · · · · · · · · · ·		***
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 2 of 71

	Form	PTO-1449 Modifi	ied Angewarto	Docket No.	Serial No.		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant			
	U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA			
	·	U. S	. PATENT DO	CUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	AA	4,621,023	11/4/86	Redziniak, et al.	428	402.2	
	AB	5,194,188	03/16/93	Guitierrez	264	4.1	
	AC	5,283,255	02/01/94	Levy, et al.	514	410	
	AD	5,380,411	01/10/95	Schlief	204	157.15	
	AE	6,068,857	05/30/00	Weitschies, et al.	424	489	
	AF	6,159,445	12/12/00	Klaveness, et al.	424	9.6	
	AG	US 6,261,537 B1	07/17/01	Klaveness, et al.	424	9.52	
	АН	US 6,331,289 B1	12/18/01	Klaveness, et al.	424	9.52	
	T	FORE	GN PATENT I	DOCUMENTS			
Examiner Initial		Document No.	Date	Country	1	nslation NO	
EXAMINE	R			DATE CONSIDER	ED		

Page 3 of 71

			·		1 01		
,	Form	PTO-1449 Mod	lified	Docket No.	Serial N	0.	
				006086.00020	TBA		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Evan C. Unger,			
U.S. Department of Commerce Patent and Trademark Office			Filing Date	Group			
		U	. S. PATENT I	OCUMENTS			
Examiner Initial	·	Document No.	Date	Name	Class	Subclass	
- <del> </del>	ļ						
<del> </del>		·					
	· ·	FORI	EIGN PATENT	DOCUMENTS	·		
Examiner Initial		Document No.	Date	Country	YES Tra	anslation NO	
	563	WO 91/18612	12/12/91	PCT			
	564	WO 95/32006	11/30/95	PCT			
· · · · · · · · · · · · · · · · · · ·	565	WO 98/04292	02/05/98	PCT			
					·		
EXAMINE	2			DATE CONSIDE	RED	<u> </u>	

Page 4 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Unger et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA U. S. PATENT DOCUMENTS **Examiner** Document Date Name Class Subclass Initial No. AA 4,466,442 08/21/84 Hilmann et al. 128 653 AB 4,621,023 11/04/86 Redziniak et al. 428 402.2 AC 4,919,895 04/24/90 Heldebrant et al. 422 129 AD 5,194,188 03/16/93 Guitierrez 264 4.1 **AE** 5,380,411 01/10/95 Schlief 204 157.15 AF 5,512,268 04/30/96 Grinstaff et al. 424 9.322 AG 5,567,415 10/22/96 Porter 424 9.52 AH 5,846,517 12/08/98 Unger 424 9.52 AI 5,849,727 12/15/98 Porter et al. 514 156 AJ 5,997,898 12/07/99 Unger 424 450 AK 6,056,938 05/02/00 Unger et al. 424 1.21 AL 6,068,857 05/30/00 Weitschies et al. 424 489 FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Translation Initial YES NO **AM** 0 633 030 A1 01/11/95 EP AN 92/05806 04/16/92 WIPO **EXAMINER DATE CONSIDERED** 

Page 5 of 71

_ <del></del>			rage 5 01 /1	
Form P	TO-1449 Modified	Docket No.	Serial No.	
		006086.00020	TBA	
Cit	atent and Publications ed by Applicant ral sheets if necessary)	Applicant Unger, et al		
	artment of Commerce nd Trademark Office	Filing Date TBA	Group TBA	
ОТНЕ	R DOCUMENTS (Including A	Author, Title, Date, Pertin	ient Pages, Etc.)	
AO	Nomura et al., "US Contrast Enh Microbubbles: A Preliminary Re English language translation p	por,t" Jpn. J. Med. Ultrason		
AP	Porter, et al., "Multifold Sonicated Dilutions of Albumin with Fifty Percent Dextrose Improve Left Ventricular Contrast Videointensity After Intravenous Injection in Human Beings," <i>Journal of the American Society of Echocardiography</i> , Vol. 7, No. 5, pp. 465-47 September-October 1994			
AQ	Porter, et al., "Noninvasive Identification of Acute Myocardial Ischemia and Reperfusion With Contrast Ultrasound Using Intravenous Perfluorpropane-Exposed Sonicated Dextrose Albumin," <i>Journal of the American College of Cardiology</i> , Vol. 26, No. 1, pp. 33-40; 1995			
AR	Porter, et al., "Visually Discernible Myocardial Echocardiographic Contrast After Intravenous Injection of Sonicated Dextrose Albumin Microbubbles Containing High Molecular Weight, Less Soluble Gases," <i>Journal of the American College of Cardiology</i> , Vol. 25, No. 2, pp. 509-515, February 1995			
AS	Srinivasan, et al., "Characterizat: Interactions of Oligonucleotides 5, pp. 131-139, 1995	— · · · · · · · · · · · · · · · · · · ·	<b>O</b> , <b>O</b>	
AT	Xie, et al., "Acute Myocardial Is invasively with Intravenous Perf Ultrasound Contrast," Circulation	luoropropane-Enhanced Son	icated Dextrose Albumin	
EXAMINER	1	DATE CONSIDERED	)	
	·	1=	*. ·	

Page 6 of 71

F	orm I	PTO-1449 Mod	ified	Docket No.	Page 6 of Serial No.	
•	v. mil I	A C TAAN MINI		006086.00020	TBA	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Unger, et al			
		partment of Command Trademark Of		Filing Date TBA	Group TBA	
			u. <mark>s. paten</mark> t	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class .	Subclass
	AA	4,466,442	08/21/84	Hilmann et al.	128	653
	AB	4,621,023	11/04/86	Redziniak et al.	428	402.2
	AC	4,919,895	04/24/90	Heldebrant et al.	422	129
	AD	5,194,188	03/16/93	Guitierrez	264	4.1
	AE	5,380,411	01/10/95	Schlief	204	157.15
	AF	5,512,268	04/30/96	Grinstaff et al.	424	9.322
	AG	5,567,415	10/22/96	Porter	424	9.52
	AH	5,846,517	12/08/98	Unger	424	9.52
	AI	5,849,727	12/15/98	Porter et al.	514	156
	AJ	5,997,898	12/07/99	Unger	424	450
	AK	6,056,938	05/02/00	Unger et al.	424	1.21
	AL	6,068,857	05/30/00	Weitschies et al.	424	489
		FOI	REIGN PATE	NT DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Tran YES NO	slation )
	AM	0 633 030 A1	01/11/95	EP		
	AN	92/05806	04/16/92	WIPO		
					·	
EXAMINE	R			DATE CONSIDE	RED	

Page 7 of 71 Form PTO-1449 Modified Docket No. Serial No. TBA 006086.00020 List of Patent and Publications **Applicant** Cited by Applicant Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA --TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Nomura et al., "US Contrast Enhancement of Hepatic Tumor with Helium Gas AO Microbubbles: A Preliminary Repor,t" Jpn. J. Med. Ultrasonics, Vol. 18, No. 5 (1991) (full English language translation provided) AP Porter, et al., "Multifold Sonicated Dilutions of Albumin with Fifty Percent Dextrose Improve Left Ventricular Contrast Videointensity After Intravenous Injection in Human Beings," Journal of the American Society of Echocardiography, Vol. 7, No. 5, pp. 465-471, September-October 1994 Porter, et al., "Noninvasive Identification of Acute Myocardial Ischemia and Reperfusion AQ With Contrast Ultrasound Using Intravenous Perfluorpropane-Exposed Sonicated Dextrose Albumin," Journal of the American College of Cardiology, Vol. 26, No. 1, pp. 33-40; 1995 Porter, et al., "Visually Discernible Myocardial Echocardiographic Contrast After AR Intravenous Injection of Sonicated Dextrose Albumin Microbubbles Containing High Molecular Weight, Less Soluble Gases," Journal of the American College of Cardiology. Vol. 25, No. 2, pp. 509-515, February 1995 AS Srinivasan, et al., "Characterization of Binding Sites, Extent of Binding, and Drug Interactions of Oligonucleotides with Albumin," Antisense Research and Development, Vol. 5, pp. 131-139, 1995 **AT** Xie, et al., "Acute Myocardial Ischemia and Reperfusion can be Visually Identified Noninvasively with Intravenous Perfluoropropane-Enhanced Sonicated Dextrose Albumin Ultrasound Contrast," Circulation, Vol. 90, No. 4, Part 2, Abstract 2989, October 1994 **EXAMINER DATE CONSIDERED** 

Form PTO-1449 Modified  List of Patent and Publications  Cited by Applicant  (Use several sheets if necessary)			Docket No. 006086.00020	Serial No.			
			Applicant				
		Department of Comm t and Trademark Off		Filing Date	Group		
		U.	S. PATENT D	OCUMENTS	•	<del></del>	
Examiner Initial		Document No.	Date	Name	Class	Subclass	
<del></del>	<del> </del>						
	ļ						
<del></del>	<del> </del>						
	<u> </u>	-	<u> </u>				
	-						
	<u> </u>						
	<u></u>	FORI	FICN DATENT	T DOCUMENTS			
Examiner	T -	Tok	JON TATEN	BOCOMENTS	Two	nalation	
Initial		Document No.	Date	Country		nslation NO	
	AA	195 41 679 A1	05/15/97	Germany	Abstract		
	AB	WO 93/19768	10/14/93	PCT			
					·		
EXAMINE	R			DATE CONSIDE	DATE CONSIDERED		

Page 9 of 71

	Forn	n PTO-1449 Modified	Docket No.	Serial No.	
			006086.00020	TBA	
		of Patent and Publications Cited by Applicant several sheets if necessary)	Applicant  Evan C. Unger e	t al.,	
		Department of Commerce ont and Trademark Office	Filing Date TBA	Group TBA	
	ОТН	ER DOCUMENTS (Including A	uthor, Title, Date, Perti	inent Pages, Etc.)	
	AA	Frezard, F. et al., "Fluorinated p permeability, active doxorubical et Biophys Acta, 1994, Vol 1194	n encapsulation and stbil	d liposomes: H+/Na+ ity in human serum"Biochim	
	AB	Gross, U. et al., "Phosholipid vesiculated fluorocarbons promising trend in blood substitutes" <i>Biomat.</i> , Art. Cells & Immob. Biotech., 1992, Vol 20, (2-4) pp 831-833			
	AC	Riess, Jean G., "Fluorine in our arteries", New J. Chem., 1995, Vol 19 (8-9), pp 891-909 (English Abstract)			
	AD	Riess, Jean G., "Introducing a ne Journal of Liposome Research,	Riess, Jean G., "Introducing a new element fluorine-into the liposomal membrane"  Journal of Liposome Research, 1995, Vol 5 (3) pp-413-430		
	AE	Santaella, C. et al., "Extended in liposomes" FEBS Letters, 1993,		ime of fluorinated	
	AF	bilayer of dmpc liposomes resul	Trevino, L, et al., "Incorporation of a perfluroalkylalkane (rfrh) into the phospholipid bilayer of dmpc liposomes results in greater encapsulation stability", <i>Journal of Liposome Research.</i> , 1994, Vol 4 (2) pp 1017-1028		
	AG	Zarif L. et al., "Biodistribution and excretion of a mixed flurocarbon-hydrocarbon "dowel" emulsion as determined by 19-F NMR", Artificial Cells, Blood Substitutes, and Immobilization Biotechnology, 1994, Vol 22,(4) pp 1193-1198			
EXA	MINER		DATE CONSIDE	ERED	

Page 10 of 71... Docket No. Form PTO-1449 Modified Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AATrevedi and Dickson (1995) "Liposome-mediated gene transfer into normal and dystrophin-deficient mouse myoblasts" J. Neurochem 64(5):2230-8 (Abstract only) Watson, A.J. (1995) "Review article: manipulation of cell death--the AB development of novel strategies for the treatment of gastrointestinal disease" Aliment. Pharmacol. Ther. 9(3):215-26 (Abstract only) AC Yla-Herttuala (1996) "Gene therapy for cardiovascular diseases" Ann. Med. 28(2):89-93 (Abstract only) Zabner (1996) "Repeat administration of an adenovirus vector encoding cystic AD fibrosis transmembrane conductance regulator to the nasal epithelium of patients with cystic fibrosis" Clin. Invest. 97(6):1504-1511 **EXAMINER DATE CONSIDERED** 

Page 11 of 71

		T		
Forn	PTO-1449 Modified	Docket No.	Serial No.	
		006086.00020	TBA	
	of Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Evan C. Unger et al.		
	Department of Commerce nt and Trademark Office	Filing Date TBA	Group TBA	
ОТНІ	ER DOCUMENTS (Including Author	r, Title, Date, Pertiner	nt Pages, Etc.)	
AA	Porter, T. R., et al., "Thrombolytic En Sonicated Dextrose Albumin Microb 1996, Vol. 132, No. 5, pp. 964-968	nhancement with Perfluubbles", American Hea	iorocarbon-exposed art Journal, November	
	·			
	,			
EXAMINER		DATE CONSIDERE	E <b>D</b>	

Page 12 of 71

	Form	PTO-1449 Mod	ified	Docket No.	Serial No	2 of 71 o.
	(	Patent and Publicat Cited by Applicant everal sheets if neces	006086.00020 Applicant Evan C. Unger et			
		epartment of Comm t and Trademark Of	Filing Date TBA	Group TBA		
		U.	S. PATENT D	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AB	4,485,193	11/27/84	Rubens et al.	521	58
	AC	5,639,473	06/17/97	Grinstaff et al.	424	450
	AD	5,648,098	07/15/97	Porter	424	490
		FOR	EIGN PATEN	r documents		
Examiner Initial		Document No.	Date	Country	YES	anslation NO
					-	
EXAMINE	R			DATE CONSIDE	CRED	

	Form	PTO-1449 Mod	ified	Docket No.	Serial N			
		f Patent and Publicat Cited by Applicant everal sheets if neces		Applicant	Applicant Evan C. Unger, et al.			
		Department of Comm nt and Trademark Of		Filing Date	Group · TBA			
		U.	S. PATENT D	OCUMENTS		·		
Examiner Initial		Document No.	Date	Name	Class	Subclass		
	AE	5,695,460	12/09/97	Siegel, et al.	604	21		
•								
	<u> </u>	FOR	EIGN PATEN	F DOCUMENTS	·			
Examiner Initial	Examiner		Country	YES Tr	ranslation NO			
	AF	0 901 793 A1	03/17/99	EPO				
	AG	WO 96/40285	12/19/96	PCT				
	AH	WO 99/13919	03/25/99	PCT				
EXAMINI	ER			DATE CONSIDI	ERED			

Page 14 of 71

		<del></del>	Page 14 OF 71	
Form	PTO-1449 Modified	Docket No.	Serial No. TBA	
·	of Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Evan C. Unger, et al.		
	Department of Commerce nt and Trademark Office	Filing Date TBA	Group · TBA	
отні	ER DOCUMENTS (Including Autho	or, Title, Date, Pertine	nt Pages, Etc.)	
AA	Kinsler, L. E., et al., Fundamentals of	of Acoustics, 3rd Ed., 19	<b>82</b> , 228-331	
AB	Meessen, H. (ed.), <i>Microcirculation</i> , Springer-Verlag, Berlin Heidelberg, New York, 1997, 44			
AC	Ring, J., et al., "Humanalbuminunve untersuchungen," Clinical Weekly, 1		und immunologische	
AD	Robinson, et al., F.J. Fry (ed.), "Ultr Elsevier Scientific Publishing Comp			
AE	Silbernagl, S., et al., "Pocket atlas of physiology," Georg Thieme Verlag, Stuttgart, New York, 1983, 156-157			
AF	Wells, P.N.T., "Pulse-echo methods," <i>Biomedical Ultrasonics</i> , Academic Press, 1977, 209-220			
EXAMINER		DATE CONSIDER	ED	

				<del></del>	Page 15	Of /1 .
1	Form l	PTO-1449 Modifi	Docket No.	Serial No.		
			006086.00020 TBA			
		Patent and Publicatio	Applicant	4 . 1		
(		ited by Applicant eral sheets if necessa	ırv)	Evan C. Unger, e	t ai.	
		partment of Commer		Filing Date	Group	
		and Trademark Office		TBA	TBA	
		U. S	S. PATENT D	OCUMENTS		
Examiner Initial	Document No. Date		Name	Class	Subclass	
	AG	4,972,002	11/20/90	Volkert	521	120
···						
						-
	<u> </u>	FORE	IGN PATEN	T DOCUMENTS		
Examiner Initial		Document No.	Date	Country		nslation NO
	AH	0 224 934	06/10/87	EPO	X	
	AI	0 359 246	03/21/90	EPO	X	
	AJ	WO 85/02772	07/04/85	PCT	X	
	AK	WO 91/09629	07/11/91	PCT	X	
	AL	WO 92/22247	12/23/92	PCT	X	
	AM	3803972	08/10/89	Germany	X (abstract)	
EXAMINE	ER			DATE CONSID	ERED	

Page 16 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications **Applicant** Cited by Applicant Unger, et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA **U. S. PATENT DOCUMENTS** Examiner Document Initial No. Date Name Class Subclass AA 5,460,900 10/24/95 Walters 424 9.6 AB 5,701,899 12/30/97 Porter 428 662.02  $\mathbf{AC}$ 5,897,851 04/27/99 Quay et al. 424 9.52 AD 5,976,501 11/02/99 Jablonski 424 9.52 AE AF **AG** AH AI AJ FOREIGN PATENT DOCUMENTS Examiner Translation Initial Document No. Date **Country** YES NO AK  $\mathbf{AL}$ AM AN A<sub>0</sub>

**DATE CONSIDERED** 

**EXAMINER** 

Page 18 of 71

Docket No. 006086.00020	Serial No. TBA			
Applicant				
Applicant Evan C. Unger et al.				
Filing Date TBA	Group TBA			
or, Title, Date, Pertine	ent Pages, Etc.)			
etics Do Not Explain to ne or Perfluoropentane,	he Absence of an "Anesth. Analog., 1994,			
Sharma, S.K. et al., "Emulsification Methods for Perfluorochemicals," Drug Devel. Indust. Pharmacy, 1988, 14(15-17), 2371-2376				
	red Technetium-99m as Blood , 165-170			
Tilcock, C. et al., "99mTc-labeling of Lipid Vesicles Containing the Lipophilic Chelator PE-DTTA: Effect of Tin-to-chelate Ratio, Chelate Content and Surface Polymer on Labeling Efficiency and Biodistribution Behavior," Nucl. Med. Biol., 1994, 1, 89-96				
Zarif, L.et al., "Synergistic Stabilization of Perfluorocarbon-Pluronic F-68 Emulsion by Perfluoroalkylated Polyhydroxylated Surfactants," <i>JAOCS</i> , <b>1989</b> , <i>66</i> (10), 1515-1523				
-				
DATE CONSIDER	ED			
	Filing Date TBA  Filing Date, Pertine  or, Title, Date, Pertine  netics Do Not Explain the presence of Perfluoropentane,  Methods for Perfluoroce 2371-2376  Vesicles with Encapsulate and Surfer, Mucl. Med. Biol., 1994, 2  pon of Perfluorocarbon-Place on of Perfluorocarbon on of Perfluorocarbon-Place on of Perfluorocarbon on of Perfl			

]	Form	PTO-1449 Modi	Docket No. 006086.00020	Serial No		
(	C	Patent and Publicati Cited by Applicant veral sheets if necess	Applicant	·		
		epartment of Comm and Trademark Off	Filing Date TBA	Group TBA		
		U.	S. PATENT D	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AF	5,190,766	03/02/93	Ishihara	424	489
	AG	5,508,021	04/16/96	Grinstaff et al.	424	9.322
-	AH	5,562,608	10/08/96	Sekins et al.	604	20
	AI	5,565,215	10/15/96	Gref et al.	424	501
	AJ	5,648,095	07/15/97	Illum et al.	424	489
	AK	5,707,352	01/13/98	Sekins et al.	604	56
	ÅL	5,736,121	04/07/98	Unger	424	9.4
	AM	5,874,062	02/23/99	Unger	424	9.4
		FORI	EIGN PATEN	Γ DOCUMENTS		
Examiner Initial		Document No.	Date	Country	YES Ta	anslation NO
	AN	WO 91/03267	03/21/91	PCT	X	
	AO	WO 94/21301/	09/29/94	PCT .	x	
	AP	WO 95/03835	02/09/95	PCT	X	
	AQ	WO 95/32005	11/30/95	PCT	X	
EXAMINE				DATE CONSIDI		

Page 20 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications **Applicant** Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Jackson, B.A. et al., "Effect of ultrasound therapy on the repair of Achilles tendon AA injuries in rats", Med. & Sci. in Sports & Exercise, 1991, 23(2), 171-176 Lejbkowicz, F. et al., "The response of normal and malignant cells to ultrasound in ABvitro", Ultrasound Med. Biol., 1993, 19(1), 75-82 (abstract only) Maxwell, L., "Therapeutic Ultrasound: Its Effects on the Cellular and Molecular AC Mechanisms of Inflammation and Repair", Physiotherapy, 1992, 78(6), 421-426 Tuncay, O. et al., "Expression of Genes Associated with Tissue Remodeling Upon AD Ultrasound Perturbation in the Gingival Fibroblast", J. Dental Res., 1996, 14, 143, Abstract No. 1007 Wang, S-J. et al., "Low Intensity Ultrasound Treatment Increases Strength in a Rat AE Femoral Fracture Model", J. Orthopaedic Res., 1994, 12, 40-47 Yang, K-H. et al., "Exposure to Low-Intensity Ultrasound Increases Aggrecan Gene AF Expression in a Rat Femur Fracture Model", J. Orthopaedic Res., 1996, 14, 802-809 Young, S.R. et al., "The Effect of Therapeutic Ultrasound on Angiogenesis", AG Ultrasound in Med. & Biol., 1990, 16(3), 261-269 Young, S.R. et al., "Effect of therapeutic ultrasound on the healing of full-thickness AH excised skin leasons", Ultrasonics, 1990, 28(3), 175-180 **EXAMINER DATE CONSIDERED** 

1

EXAMINE	R		·	DATE CONSIDEREI	)			
<del> </del>	<del> </del>					·		
Examiner Initial		Document No.	Date	Country	1	anslation NO		
		FO	REIGN PATEN	T DOCUMENTS				
- <u></u>	ļ							
	ļ.			<u> </u>				
						·		
						<u> </u>		
	AL	5,612,318	03/18/97	Weichselbaum et al.	514	44		
	AK	5,571,797	11/05/96	Ohno et al.	514	44		
	AJ	5,556,372	09/17/96	Talish et al.	601	2		
	AI	4,530,360	07/23/85	Duarte	128	419 F		
Examiner Initial		Document No.	Date	Name	Class	Subclass		
		U	. S. PATENT I					
		epartment of Comm and Trademark Of		Filing Date TBA	Group			
	(Use ser	Cited by Applicant veral sheets if neces			Evan C. Unger et al.			
		Patent and Publicat	ions	Applicant	Applicant			
]	Form	PTO-1449 Mod	ified	Docket No. 006086.00020	Serial No.			
					Page 21	l of 71		

Page 22 of 71

				T DOCUMENTS			
· · · · · · · · · · · · · · · · · · ·							
· · · · · · · · · · · · · · · · · · ·							
	<del>  </del>						
<u> </u>		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				1	
	AC	4,919,895	04/24/90	Heldebrant et al.	422	129	
	AB	5,804,162	09/08/98	Kabalnov et al.	424	9.51	
	AA	5,569,448	10/29/96	Wong et al.	424	9.45	
Examiner Initial		Document No.	Date	Name	Class	Subclass	
		U.	S. PATENT D	OCUMENTS			
		epartment of Comm and Trademark Off	Filing Date TBA	Group TBA			
	•			Filing Date	Group	<del></del>	
	C	Patent and Publicat Cited by Applicant veral sheets if neces	Applicant Evan C. Unger et	al.			
			•	006086.00020			
•		•	ified	Docket No.	Serial No		

						<u> </u>
3	Form :	PTO-1449 Modi	Docket No.	Serial No	) <b>.</b>	
				006086.00020	TBA	
	C	Patent and Publicate ited by Applicant veral sheets if necess	Applicant Evan C. Unger et	Applicant Evan C. Unger et al.		
	U.S. De Patent	epartment of Comm and Trademark Off	Filing Date TBA	Group TBA		
		U.	S. PATENT D	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AA.	5,840,023	11/24/98	Oraevsky et al.	600	407
	AB	5,855,865	01/05/99	Lambert et al.	424	9.52
		_				
				-		
			·			
		FORI	EIGN PATEN	T DOCUMENTS		
Examiner Initial	Document No.		Date	Country	Translation YES NO	
· · · · · · · · · · · · · · · · · · ·					· ·	
EXAMINE	 D	<u> </u>		DATE CONSIDE	DED	

Page 24 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 ТВА List of Patent and Publications **Applicant** Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA **U. S. PATENT DOCUMENTS** Examiner **Document** Initial No. Date Name Class Subclass 5,612,057 AA 03/18/97 Lanza et al. 424 450 AB 5,858,399 01/12/99 Lanza et al. 424 450 ... FOREIGN PATENT DOCUMENTS Examiner Translation Initial Document No. Date Country YES NO **EXAMINER DATE CONSIDERED** 

٠.					
				Page 25 of 71	
F	orm PTO-14	49 Modified	Docket No.	Serial No.	
			006086.00020	TBA	
L	ist of Patent ar	nd Publications	Applicant		
J)	Cited by A se several shee	Applicant ets if necessary)	Evan C. Unger et al.		
Ü	S. Department	of Commerce	Filing Date	Group	
]	Patent and Trac	lemark Office	TBA	TBA	
<b>O</b> 7	THER DOCUM	MENTS (Including	Author, Title, Date, Perti	nent Pages, Etc.)	
·	in a Stead	ly-State Imaging Sequ	ess of a Contrast Agent and Gence for Magnetic Resonance tive Radiology, 1994, 29(1)	Tradient Recalled Acquisition Imaging-Guided Noninvasive 0), 897-903	
				,	
			<del></del>		
			· · · · · · · · · · · · · · · · · · ·		

.

.

·

Page 26 of 71

EXAMINE	R			DATE CONSIDI	ERED	
	AF ·	WO 95/24184	09/14/95	PCT	X	
	AE	WO 92/22249	12/23/92	PCT	X	
<del></del>	AD	EP 0 052 575	05/26/82	EPO	Х	
Examiner Initial		Document No.	Date	Country	YES Ti	ranslation NO
		FORE	EIGN PATEN	T DOCUMENTS		
<del></del>						
				-		
		·				
· · · · · · · · · · · · · · · · · · ·	AC	5,740,807	04/21/98	Porter	128	662.02
	AB	4,693,999	09/15/87	Axelsson et al.	514	174
Examiner Initial		Document No.	Date	Name	Class	Subclass
	7	U.	S. PATENT D	OCUMENTS	<del></del>	<del></del>
	Patent	and Trademark Off	-TBA	TBA		
	U.S. D	epartment of Comm	erce	Filing Date	Group	
(		Cited by Applicant veral sheets if necess	sary)	Evan C. Unger e	t ai.	•
		Patent and Publicat	Applicant			
				006086.00020		TBA
3	Form	PTO-1449 Modi	fied	Docket No.	Serial No	26 of 71 o.

Page 27 of 71 Serial No. Evan C. Unger, et al. Group TBA OTHER DOCUMENTS (Including Author Title Date Pertinent Pages Etc.)

OTHE	R DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
AA	Hautanen, A., et al., "Effects of modifications of the RGD sequence and its context on recognition by the fibronectin receptor," J. Biol. Chem., 1989, 264(3), 1437-1442
AB	Takeuchi, M., et al., "Enhanced visualization of intravascular thrombus with the use of a thrombus targeting ultrasound contrast agent (MRX408): Evidence from in vivo experimental echocardiographic studies," J. Am. College of Cardiology, 1998, 81(12), 1 page, Abstract XP-000952675
AC	Unger, E.C., et al., "In vitrostudies of a new thrombus-specific ultrasound contrast agent," <i>J. of Cardiology</i> , <b>1998</b> , <i>81(12)</i> , 58G-61G, Abstract XP-002087505
AD	Wu, Y., et al., "Binding and lysing of blood clots using MRX-408," <i>Investigate Radiology</i> , <b>1998</b> , 33(12), 880-885
EXAMINER	DATE CONSIDERED

Docket No. 006086.00020

**Applicant** 

Filing Date

TBA

Form PTO-1449 Modified

List of Patent and Publications

Cited by Applicant

(Use several sheets if necessary)

U.S. Department of Commerce

Patent and Trademark Office

Page 28 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications **Applicant** Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Lindner et al., "Myocardial Perfusion Characteristics and Hemodynamic Profile of AA MRX-115, a Venous Echocardiographic Contrast Agent, During Acute Myocardial Infarction", J. Am. Soc. Echocardiography, 1998, 11(1), 36-46 Regen et al., "Polymerized Phosphatidylcholine Vesicles. Synthesis and AB Characterization", J. Am. Chem. Soc., 1982, 104(3), 191-195 Wei et al., "Quantification of Myocardial Blood Flow with Ultrasound-Induced AC Destruction of Microbubbles Administered as a Constant Venous Infusion", Circulation, 1998, 97, 473-483 EXAMINER DATE CONSIDERED

Dago	20	Ωf	71	

Form PTO-1449 Modified		Docket No.	Serial No			
List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		006086.00020	T	ЗА		
		Applicant Evan C. Unger et al.				
		Filing Date TBA	Group TBA			
		U. S	. PATENT D	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AD	3,291,843	12/13/66	Fritz et al.	260	614
	AE	3,557,294	01/19/71	Dear et al.	424	342
	AF	4,427,649	01/24/84	Dingle et al.	424	38
-	AG	4,663,161	05/05/87	Mannino et al.	424	89
	AH	4,918,065	04/17/90	Stindl et al.	514	179
	AI	5,008,109	04/16/91	Tin	424	422
	AJ	5,107,842	04/28/92	Levene et al.	128	662.02
	AK	5,196,348	03/23/93	Schweighardt et al.	436	173
	AL	5,339,814	08/23/94	Lasker	128	653.4
	AM	5,552,155	09/03/96	Bailey et al.	424	450
`	AN	5,679,459	10/21/97	Riess et al.	428	402.2
	AO	5,711,933	01/27/98	Bichon et al.	424	9.52
	AP	5,733,527	03/31/98	Schutt	424	9.52
		FORE	GN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Translation YES NO	
· · · · · · · · · · · · · · · · · · ·	AQ	WO 84/02909	08/02/94	PCT	X	
	AR	WO 92/15284	09/17/92	PCT	х	
EXAMINE	R			DATE CONSIDER	ED	

Page 30 of 71

Form PTO-1449 Modified  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)	Docket No. Serial No.  006086.00020 TBA  Applicant Evan C. Unger et al.		
U.S. Department of Commerce Patent and Trademark Office	Filing Date TBA	Group Not Yet Assigned	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
AA Nomura et al., "US Contrast Enhan Microbubbles: A Preliminary Report (Japanese with English language ab	t", Jpn. J. Med. Ultra	mor with Helium Gas asonics, 1991, 18(5),	
		·	
EXAMINER	DATE CONSIDERI	ED	

Page 31 of 71 Docket No. Serial No. Form PTO-1449 Modified 006086.00020 TBA **Applicant** List of Patent and Publications Evan C. Unger et al. Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA Not Yet Assigned U. S. PATENT DOCUMENTS Examiner **Document** Initial No. Date Name Class Subclass 9 05/30/89 Rosen 424 AB 4,834,964 01/15/91 Leunbach 128 AC 653 4,984,573 Moore et al. 424 9 5,362,477 11/08/94 AD Aida et al. 128 01/23/96 653.1 AE 5,485,839  $\mathbf{AF}$ 08/23/94 Lasker 128 653.4 5,339,814 08/13/96 Albert et al. 424 93 AG 5,545,396 424 401 AH 5,593,680 01/14/97 Bara et al. 424 5,686,102 11/11/97 Gross et al. 450 ΑI Lohrmann et al. 424 9.5 02/10/98 AJ 5,716,597 128 03/31/98 Widder et al. 661.08 AK 5,732,707 FOREIGN PATENT DOCUMENTS Translation Examiner YES Initial Document No. Date Country NO X 12/23/92 **PCT** AL WO 92/22298 01/06/94 **PCT** X (Abstract AM WO 94/00110 Only) WO 94/28873 12/22/94 **PCT** X AN X WO 98/00172 01/08/98 **PCT** AO X AP 2 700 952 08/05/94 France  $\mathbf{X}^{\cdot}$ AQ 25 21 003 08/12/76 Germany X 09/14/94 **EPO** AR 0 614 656 A1 DATE CONSIDERED EXAMINER

Page 32 of 71

		Docket No. 006086.00020	Serial No. TBA	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger et al.		
U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group TBA
O	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AA Reexamination of U.S. Patent No. 5,527,521, Reexam Control No. 90/004			ontrol No. 90/004,719
	AB	Reexamination of U.S. Patent No. 5,547,656, Reexam Control No. 90/004,720		
			,	
		· · · · · · · · · · · · · · · · · · ·		
	٠			
4.5				
. ``				
			<del></del>	
:				
			•	
EXAMINER			DATE CONSIDERED	

Page 33 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger and Thomas McCreery (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Desir et al., "Assessment of regional myocardial perfusion with myocardial contrast echocardiography in a canine model of varying degrees of coronary stenosis", Am. Heart J., January, 1994, 127(1), 56-63 Sekins et al., "Lung Cancer Hyperthermia via Ultrasound and PFC Liquids", Published in Proceedings of 5th International Symposium on Hyperthermic Oncology, Kyoto, Japan, August 29-September 3, 1998, 3 pages AC | Pietersen, "A New Warning System for Fires of Electrical Origin", CERN European Organization for Nuclear Research, Health and Safety Division, March, 1977, 1-5 **EXAMINER** DATE CONSIDERED

Page 34 of 71 Docket No. Form PTO-1449 Modified Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger and Thomas McCreery (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. AD 5,247,935 09/28/93 Cline et al. 128 653.2 ΑE 5,558,856 09/24/96 Klaveness et al. 424 9.37 AF 5,569,449 10/29/96 Klaveness et al. 424 9.51 5,614,169 03/25/97 Klaveness et al. AG 424 9.52 AH 5,676,928 10/14/97 Klaveness et al. 424 9.32 ΑI 5,686,060 11/11/97 Schneider et al. 424 9.52 ΑJ 5,707,606 01/13/98 424 Quay 9.52 5,707,607 01/13/98 AK Quay 424 9.52 FOREIGN PATENT DOCUMENTS Examiner Document Translation Date Country Initial No. YES NO EXAMINER DATE CONSIDERED

Page			Page 35 of 71	
Form PTO-1449 Modified			Docket No. 006086.00020	Serial No. TBA
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger et al.		
U.S. Department of Commerce Patent and Trademark Office			Filing Date	Group /
OTHER DO	CUME	NTS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
	AA	Villanueva et al., "Cha Flow Within the Reperfu Echocardiography", Circ (December 1993)	ised Myocardium by My	ocardial Contrast
		-		
			·	
EXAMINER			DATE CONSIDERED	

				<u> </u>	Page 36	of 71
Form	PT	0-1449 Mod:	ified	Docket No.	Serial	No.
			•	006086.00020	TBA	
C	ited	ent and Publ I by Applican sheets if n	nt	Applicant Evan C. Unger et	al.	
U.S. Paten	Depa t an	rtment of Co d Trademark	mmerce Office	Filing Date TBA	Group TBA	
			U. S. PATE	ENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AB	5,135,000	08/04/92	Akselrod et al.	128	662.02
	AC	5,672,585	09/30/97	Pierschbacher et al.	514	11
<del></del>	ļ				·	
				·		
	<u> </u>		FOREIGN PAT	TENT DOCUMENTS		1
Examiner Initial		Document No.	Date	Country	Tran YES	slation NO
	AD	WO 92/17514	10/15/92	PCT	Х	
	AE	WO 94/07539	04/14/94	PCT	X (abstract Only)	
	AF	0 586 875	03/16/94	EPO	X (abstract Only)	
EXAMINER	EXAMINER			DATE CONSIDERED		

Page 37 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA Not Yet Assigned OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AA Nabel et al., "Combined Experience from Phase I Studies with Allovectin-7, a Direct Gene Transfer Immunotherapeutic in Patients with Metastatic Solid Tumors", Proceed. ASCO, March 14, 1995, 14, 226, (31st Annual Meeting of the American Society of Clinical Oncology, May 20-23, 1995) (Abstract No. 582) Rubin et al., "Phase I Study of Immunotherapy of Hepatic Metastasis of Colorectal Carcinoma by Direct Gene Transfer", Proceed. ASCO, March 14, 1995, 14, 228, (31st Annual Meeting of the American Society of Clinical Oncology, May 20-23, 1995) (Abstract No. 589) **EXAMINER** DATE CONSIDERED

Page 38 of 71

				<b>1</b>	Page 3	8 of 71
Form PTO-1449 Modified				Docket No. 006086.00020	Serial TBA	No.
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger, et	al		
U.S. I Patent	Depai and	rtment of Co d Trademark	ommerce Office	Filing Date TBA	Group Not Yet	Assigned
			U.S. PAT	ENT DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AC	4,342,826	08/03/82	Cole ·	435	7
	AD	5,004,611	04/02/91	Leigh	424	450
	ΑE	5,192,549	03/09/93	Barenolz et al.	424	450
	<u> </u>					
: 						
		· · · · · · · · · · · · · · · · · · ·				
						·
					<del>-</del>	
		]	OREIGN PA	LENT DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Tran	slation NO
			•			
					-	
			5			·
				-		
EXAMINER				DATE CONSIDERED		<u> </u>
			<u> </u>			

Page 39 of 71

		<del></del>		Page 39 OL 71
Form PTO-1449 Modified			Docket No.	Serial No.
List of Patents and Publications		006086.00020	TBA	
Ci	ted	by Applicant	Applicant	•
(Use seve	ral s	sheets if necessary)	Evan C. Unger, et a	1
		nt of Commerce	Filing Date	Group
Patent and	d Tra	ademark Office	TBA	TBA
OTHER DOC	UMENT	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
AA Fitzpatrick, et al., Decarboxylation: Kin Decarboxylation of C Acid in Aqueous Solu No. 3, pp. 568-574			etics and Mechanism Copper (II) Complexe Lition", <i>Inorganic C</i>	n of the Oxidative
	AB	Thanassi, "Aminomalonic Acid: Spontaneous Decarboxy-lation and Reaction with 5-Deoxypyridoxal", Bio-chemistry, Vol 9, No. 3, pp. 525-532 (1970)		
	AC	Stelmashok et al., Koordinatsionnaya Khimiya, Vol. 3, No. 4, pp. 524-527 (1977) (Russian and English language versions)		
	AD	Mayhew et al., "High-Pressure Continuous-Flow System for Drug Entrapment in Liposomes", Methods in Enzymology, Vol. 149, pp. 64-77 (1987)		
	AE	Mayhew et al., "Char Using a Microemulsif Vol. 775, pp. 169-17	ier", Biochimica et	oosomes Prepared Biophysica Acta,
·	AF	Hope et al., "Production of Large Unilamellar Vesicles by a Rapid Extrusion Procedure: Characterization of Size Distribution, Trapped Volume, and Ability to Maintain a Membrane Potential", Biochimica et Biophysica Acta, 812: 55-65 (1985)		
	AG	Mayer et al., "Vesicles of Variable Size Produced by a Rapid Extrusion Procedure", Biochimica et Biophysica Acta, Vol. 858, pp. 161-168 (1986)		
	AH Cheng, et al., "The Production and Evaluation of Contrast-Carrying Liposomes Made with an Automatic High Pressure System", Investigative Radiology, Vol. 22, pp 47-55 (1987)			n Automatic High
EXAMINER			DATE CONSIDERED	

Page 40 of 71

				Page 40 of 71	
Fort	n PTC	-1449 Modified	Docket No.	Serial No.	
List of Patents and Publications			006086.00020	TBA	
C:	ited	by Applicant	Applicant		
(Use seve	ral s	sheets if necessary)	Evan C. Unger, et a	1	
U.S. Depa	rtme	nt of Commerce	Filing Date	Group	
		ademark Office	-TBA	TBA	
OTHER DOC	UMEN.	rs (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
	AI	Jain, et al., <i>Introd</i> 9, pp. 192-231 (J. W	duction to Biologica Wiley and Sons, N.Y	al Membranes, Ch.	
	AJ	Sigel, H., ed., Meta biotics and Their Co N.Y. 1985)			
	AK	Nayar et al., "Generation of Large Unilamellar Vesicles From Long-chain Saturated Phosphatidylcholines by Extrusion Technique", <i>Biochimica et Biophysica Acta</i> , Vol. 986, pp. 200-206 (1989)			
	AL	Unilamellar Phosphol	Hope et al., "Generation of Multilamellar and Unilamellar Phospholipid Vesicles", Chemistry and Physics of Lipids, Vol. 40, pp. 89-107 (1986)		
	AM	Mattrey et al., "Perfluorochemicals as US Contrast Agents for Tumor-Imaging and Hepatosplenography: Preliminary Clinical Results", Radiology, Vol. 163, pp. 339-343 (1987)			
	AN	Mattrey et al., "Perfluoroctylbromide: A Liver/Spleen- Specific and Tumor Imaging Ultrasound Contrast Material", Radiology, Vol. 145, pp. 759-762 (1982)			
	AO	Keller et al., "Successful Left Ventricular Opacification Following Peripheral Venous Injection of Sonicated Contrast Agent: An Experimental Evaluation", LV Contrast Echocardiography, Vol. 114, No. 3, pp. 570-575 (1987)			
	AP	Feinstein et al., "Two-Dimensional Contrast Echocar-diography, I: In Vitro Development and Quantitative Analysis of Echo Contrast Agents", JACC, Vol. 3, No. 1, pp. 14-20 (1984)			
EXAMINER			DATE CONSIDERED		

Page 41 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patents and Publications Cited by Applicant Applicant (Use several sheets if necessary) Evan C. Unger, et al U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Ten Cate et al., "Two-Dimensional Contrast Echocar-ΑO diography, II: Transpulmonary Studies", JACC, Vol. 3, No. 1, pp. 21-27 (1984) Unger et al., "Hepatic Metastases: Liposomal Gd-DTPA-AR enhanced MR Imaging", Radiology, Vol. 171, pp. 81-85 (1989)AS Deamer et al., "Permeability of Lipid Bilayers to Water and Ionic Solutes", Chemistry and Physics of Lipids, Vol. 40, pp.167-188 (1986) Gutknecht et al., "Diffusion of Carbon Dioxide Through AΤ Lipid Bilayer Membranes: Effect of Carbonic Anhydrase, Bicarbonate, and Unstirred Layers", Chemical Abstracts. 87:34772q (1977) AU Scarpa et al., "Cation Permeability of Liposomes as a Function of the Chemical Composition of the Lipid Bilayers", Biochimica et Biophysica Acta, Vol. 241, pp. 789-797 (1971) ΑV MacNaughton et al., "Effects of Gaseous Anesthetics and Inert Gases on the Phase Transition in Smectic Mesophases of Dipalmitoyl Phosphatidylcholine", Biochimica et Biophysica Acta, Vol. 597, pp. 193-198 (1980)

Tilcock et al., "Liposomal Gd-DTPA: Preparation and Characterization of Relaxivity", Radiology, Vol. 171,

Mann et al., "Formation of Iron Oxides in Unilamellar Vesicles", Journal of Colloid and Interface Science,

EXAMINER DATE CONSIDERED

Vol. 122, No.2, pp. 326-335 (1988)

pp.77-80 (1989)

AW

AX

Page 42 of 71

				Page 42 of 71	
Form PTO-1449 Modified		Docket No. 006086.00020	Serial No. TBA		
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger, et a	ıl		
		nt of Commerce ademark Office	Filing Date	Group TBA	
OTHER DOC	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	AY	Anderson et al., "Ma Decarboxylation of A No.8, pp. 2450-2460	cids", J. Am. Chem.	lexes in Oxidative Soc., Vol. 92,	
	AZ	Muhlradt et al., "Vi Synthesis of 5-Deoxy pp. 129-130 (1967)	Muhlradt et al., "Vitamin B6 Analogs: An Improved Synthesis of 5-Deoxypyridoxal", New Compounds, Vol. 10, pp. 129-130 (1967)		
	BA	Chapman D., "Physiochemical Properties of Phospholipids and Lipid Water Systems", <i>Liposome Technology</i> , Gregoriadis, G., ed., Vol. 1, pp. 1-19 (CRC Press, Boca Raton, FL, 1984)			
	BB	Contrast Agents for	Violante et al., "Particulate Suspensions as Ultrasonic Contrast Agents for Liver and Spleen", <i>Inv. Rad.</i> , Vol. 23, pp. S294-S297, September 1988		
	ВC	Fritzsch et al., "Pr an Ultrasonic Contra S302-S305, September	st Agent", Inv. Rac	cal Results with d., Vol. 23, pp.	
	BD	Brochure, Experience Ultrasonics, Inc. (1	Brochure, <i>Experience</i> , Sonicator™, Heat Systems- Ultrasonics, Inc. (1987)		
	BE	M. Ostro, "Liposomes", Marcel Dekker, New York, pp. 102- 103 (1983)			
	BF	Fukuda et al., "Polymer-Encased Vesicles Derived from Diotadecyldimethylammonium Methacrylate", J. Am. Chem. Soc., Vol. 108, pp. 2321-2327 (1986)			
	BG	Regen, "Polymerized Vesicles", J. Am. Chem. Soc., Vol. 102, pp. 6638-6640 (1989)			
EXAMINER			DATE CONSIDERED		

Page 43 of 71

<del></del>		<del></del>	· · · · · · · · · · · · · · · · · · ·	Page 43 of 71	
Form	Form PTO-1449 Modified		Docket No.	Serial No.	
List of Patents and Publications		006086.00020	TBA		
Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger, et a	1		
		nt of Commerce ademark Office	Filing Date TBA	Group TBA	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
	ВН	Rose, A. and Rose, E Dictionary", Reinhol 743 (1966)			
	BI	A.G. Belykh, <i>Farmako</i> 322-326 (1981) (abst		, Vol. 44(3), pp.	
	BJ J. Vion-Dury et al., J. Pharmacol. Exper. Ther., Vol. 250(3), pp. 1113-1118 (1989) (abstract)				
	ВК	M.R. Zalutsky et al., <i>Invest. Radiol.</i> , Vol. 22(2), pp. 141-147 (1987) (abstract)			
	BL	Crowe et al., Archives of Biochemistry and Biophysics, Vol. 242, pp. 240-247 (1985)			
	вм	Crowe et al., Archives of Biochemistry and Biophysics, Vol. 220, pp. 477-484 (1983)			
	BN	Dorland's Illustrate 27th ed. (W.B. Saund			
	во	Liposome Technology, 1-18, 29-35, 51-65 a Raton, FL, (1984)			
	BP	Madden et al., Chemistry and Physics of Lipids, Vol. 53, pp. 37-46 (1990)			
	BQ Sinkula et al., J. Pharm. Sci., Vol. 64, pp. 181-210 (1975)				
·	BR Shiina et al., "Hyperthermiaby Low-frequency Synthesized Ultrasound", <i>IEEE Engineering</i> , pp. 879-880, Vol. 2 (1988) (abstract)				
EXAMINER			DATE CONSIDERED		
		<del></del>			

Page 44 of 71

			<u> </u>	Page 44 of 71	
Form	PTO-	1449 Modified	Docket No.	Serial No.	
List of Patents and Publications Cited by Applicant		006086.00020	TBA		
(Use several sheets if necessary)			Applicant Evan C. Unger, et a	al	
		of Commerce demark Office	Filing Date TBA -	Group TBA	
OTHER DOCUM	MENTS	G (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
	BS	McAvoy et al., IEEE Proceedings, Vol. 2	E Engineering, Ultra 2, pp. 677-1248 (198	asonics Symposium 39) (abstract)	
	BT	Chapman et al., "Bi Biol. Chem., 1974,	omembrane Phase Tra 249:2512-2521	ansitions", J.	
	BU Hug et al., "Liposomes for the Transformation of Eukaryotic Cells", Biochimica et Biophysica Acta, 1991 1097:1-17				
	BV	Marsh, CRC Handbook of Lipid Bilayers (CRC Press, Boca Raton, FL 1990) pp. 139-141			
	BW	Szoka et al., "Proc With Large Internal Acad. Sci. 1978, 75	edure for Preparati Aqueous Space", 5:4194-4198	on of Liposomes Proc. Natl.	
	вх	Acoustic Imaging; A Ultrasound Transduc 1989, 4700-0003-1C,	ers Operator's Manu	ed Linear Array aal, November 20,	
	BY	Bangham et al., "Di Lamellae of Swollen 1965, 13:238-252	ffusion of Univaler Phospholipids", $J$ .	nt Ions across the Mol. Biol.,	
	BZ	Carson et al., <i>Ultrasound in Med. &amp; Biol.</i> 3, 1978, 341-350			
	CA Kost et al., Polymers in Medicine II: Biomedical and Pharmaceutical Applications, "Ultrasonic Modulated Drug Delivery Systems", Chiellini et al., ed., (Plenum Press, New York and London), pp. 387-396 (1985)			lated Drug Delivery	
CB deGier et al., "Relations Between Liposomes and Biomembranes", Annals of The New York Academy of Sciences, 1978, 308:85-99					
EXAMINER			DATE CONSIDERED		

				Page 45 of 71	
		1449 Modified	Docket No. 006086.00020	Serial No.	
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger, et al	<u> </u>	
		t of Commerce demark Office	Filing Date	Group TBA	
OTHER DOCU	JMENT:	S (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
	CC	Felgner et al., "Li lipid-mediated DNA- Acad. Sci., 1987, 8	pofection: A highl transfection proced 34:7413-7417	y efficient, ure", <i>Proc. Natl.</i>	
	CD	circulation time in	posome formulations blood and enhanced . Acad. Sci., 1988,	uptake by	
·.	CE	Garelli, et al., Biochimica et Biophysica Acta, Vol. 1127:41-48 (1992)			
·	CF	Kawai et al., "New Procedure for DNA Transfection with Polycation and Dimethyl Sulfoxide", Molecular and Cellular Biology, 1984, 4:1172-1174			
	CG Kuo et al., "Metallocene Antitumor Agents. Solution and Solid-State Molybdenocene Coordination", J. Am. Chem. Soc., 1991, 113:9027-9045				
	СН	Mammalian Cell Biot Butler, 1991 (Oxfor 57-70	echnology: A Pract d University Press,	ical Approach, M. New York), p.	
1 -	CI	Mathiowitz et al., "Photochemical Rupture of Microcapsules: A Model System", Journal of Applied Polymer Science, 1981, 26:809-822			
	CJ	May et al., "Cationic Liposomes Enable Bovine Herpesvirus Type 2 DNA to Infect Cells", Acta virol., 1991, 35:107			
	CK Poznansky et al., "Biologica Approaches to the Controlled Delivery of Drugs: A Critical Review", Pharmacol, Rev., 1984, 36:277-336			s to the cal Review",	
EXAMINER			DATE CONSIDERED		
			<u> </u>		

Page 46 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patents and Publications Cited by Applicant Applicant (Use several sheets if necessary) Evan C. Unger, et al U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA ~ TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) CLSato et al., "Recent Aspects in the Use of Liposomes in Biotechnology and Medicine", Prog. Lipid Res. 1992, 4:345-372 Simons et al., "Antisense c-myb oligonucleotides inhibit intimal arterial smooth muscle cell accumulation in vivo", Nature, 1992, 359:67-70 CNThompson, Larry, "At Age 2, Gene Therapy Enters a Growth Phase", Science 1992, 258:744-746 CO Trubetskoy et al. "Cationic liposomes enhance targeted delivery and expression of exogenous DNA...", Biochimica et Biophysica Acta 1992, 131:311-313 CP Umemura et al., "Studies on Sonodynamic Cancer Therapy", IEEE, 1992, O-7803-0785, pp. 354-355 CO Williams, "Human Gene Therapy: Searching for Better Vectors", ASM News [American Society for Microbology] 1992, 58:67-69 CR Woodle et al., "Versatility in lipid compositions showing prolonged circulation...", Biochimica et Biophysica Acta 1992, 1105:193-200 CS Zhou et al., "Targeted delivery of DNA by liposomes and polymers", J. of Controlled Release 1992, 19:269-274 Mathiowitz et al., "Polyanhydride Microspheres as Drug CTCarriers", Journal of Applied Polymer Science, Vol. 35, pp. 755-774 (1988) DATE CONSIDERED **EXAMINER** 

·			Page 47 of 71
Form PTO-1449 Modified		Docket No. 006086.00020	Serial No.
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger, et a	1
	nt of Commerce ademark Office	Filing Date TBA	Group TBA
OTHER DOCUMENT	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
CU	Sankaram et al., "Ch Immiscibility in Mem Vol. 88, pp. 8686-86	mbranes", Proc. Nati	
CV	Scientific Apparatus Catalog 92/93 (VWR Scientific, 1991), "Syringes", pp. 1511-1513; "Filtration, Syringe Filters", pp. 766-768; "Filtration, Membranes", pp. 750-753; "Filtration, Filter Holders", p. 744		
CW	Gramiak et al., Radiology, "Detection of Intracardiac Blood Flow by Pulsed Echo-Ranging", pp. 415-418 (1971).		
CX	Feigenbaum et al., Circulation, "Identification of Ultrasound Echoes from the Left Ventricle by Use of Intracardiac Injections of Indocyanine Green", Vol. XL1, pp. 615-621 (1970)		
CY	Santaella, et al., FEBS 13463, "Extended In Vivo Blood Circulation Time of Fluorinated Liposomes", Vol. 336, No. 3, pp. 481-484 (1993)		
CZ	Brown and Langer, Annual Review Medicine, 1988, 39:221 29, Annual Review, Inc., "Transdermal Delivery of Drugs", pp. 221-229		
DA	Moseley, et al., Microbubbles: A Novel MR Susceptibility Contrast Agent, abstract, 1991 Napa, California Meeting of the Society for Magnetic Resonance in Medicine		
DB	Ter-Pogossia Tomography, Kee, et al., n, "Physical Principles and Instrumentation", Computed Body eds., Raven Press, New York, Chapter 1, pp. 1-7 (1988)		
EXAMINER		DATE CONSIDERED	

Page 48 of 71

				rage 40 01 /1
		0-1449 Modified ts and Publications	Docket No. 006086.00020	Serial No. TBA
C.	ited	by Applicant sheets if necessary)	Applicant Evan C. Unger, et a	nl
U.S. Depa Patent an	rtmend Tra	nt of Commerce ademark Office	Filing Date	Group TBA
OTHER DOC	'UMEN'	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
	DC	Aronberg, "Technique et al., eds., Raven (1988)	es", Computed Body T Press, New York, Ch	Tomography, Kee, hapter 2, pp. 9-36
	DD	Miller, <i>Ultrasonics</i> detection of resonand by their second-harm	it cavitation bubble	es in a flow tube
·	DE	Dittrich, "Cardiac Muscle Ischemia and Infarction", The Second Annual International Symposium on Contrast Agents in Diagnostic Ultrasound, Atlantic City, NJ (May 7, 1996) (abstract)		
	DF	Pantely, "Intravenous Contrast Echocardiography-Tissue Imaging & Quantification of Coronary Blood Flow", The Second Annual International Symposium on Contrast Agents in Diagnostic Ultrasound, Atlantic City, NJ (May 7, 1996) (abstract)		
	DG	Keown, W. et al., "Methods for Introducing DNA into Mammalian Cells", Methods in Enzymology Vol. 185 "Gene Expression Technology", Goeddel, D., ed., Academic Press, Inc., New York, 1991, pp. 527-537.		
·	DH	Wyber, J.A. et al., "The Introduction of Macromolecules into Cells Using Ultrasound", Pharmaceutical Research September 1995 (Supplement), 12(9), S-96, Biotec 2069.		
	DI	"Imarx' Ultrasound Agent Ready for Phase II Trials", Clinica World Medical Device News June 26, 1995, p. 17.		
	DJ	Tischer et al., "The Human Gene for Vascular Endothelial Growth Factor", J. Biol. Chem., 1991, 266(18), 11947-11954		
	DK	Siegel et al., "Percutaneous Coronary Ultrasonic Angioplasty: Initial Clinical Experience", Eur. Heart J., 1993, 14, 78, abstract 588		
EXAMINER			DATE CONSIDERED	
·				

				rage 49 01 /1	
Form PTO-1449 Modified			Docket No. 006086.00020	Serial No. TBA	
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger, et a	al .	
U.S. Depar	rtmer d Tra	nt of Commerce ademark Office	Filing Date TBA	Group TBA	
OTHER DOC	UMENT	rs (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
DL Riessen et al., "Per using pure DNA appli balloon", Eur. Hear			ed to a hydrogel-co	pated angioplasty	
	DM	Rennie et al. (eds.) September <b>1996,</b> 126-	Rennie et al. (eds.), 1996 Scientific American, September 1996, 126-132		
M	DN	Orkin et al., "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy", December 7, 1995			
	DO	Schutt et al., "Osmotically Stabilized Microbubble Sonographic Contrast Agents", Acad. Radiol., Vol. 3, Suppl. 2, pp. S188-S190 (August 1996)			
	DP	Frézard, et al., "Permeability and stability in buffer and in human serum of fluorinated phospholipid-based liposomes", Biochimica et Biophysica Acta, 1192, pp. 61-70 (1994)			
	DQ	Frézard, et al., "Fluorinated Phospholipid-Based Vesicles as Potential Drug Carriers: Encapsulation/Sustaining of Drugs and Stability in Human Serum", Art, Cells, Blood Subs., and Immob. Biotech., 22(4), pp. 1403-1408 (1994)			
	DR	Chang et al., "Semipermeable Aqueous Microcapsules", Canadian J. Of Phys. And Pharm., 1966, 44, 115-128			
	DS	Chang, "Semipermeable Microcapsules", Science, 1964, 146, 524-525			
EXAMINER DATE CONSIDERED					

Page 50 of 71

Form	PTO-1449 Modified	Docket No. 006086.00020	Serial No.	
List of I Ci (Use sever	t al			
	epartment of Commerce and Trademark Office	Filing Date TBA	Group : TBA	
OTHER DOCUM	ENTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)	
TD	DT Deasy, <u>Microencapsulation and Related Drug Processes</u> , 1983, Vol. 20, Chs. 9 and 10, 195-240 (Marcel Dekker, Inc., NY)			
DU	Yeung et al., "Preparati Liposomes", <i>J. Microenc</i>			
DV	Agents; Preliminary Resu	Mattrey et al., <u>Gas Emulsions as Ultrasound Contrast</u> <u>Agents: Preliminary Results in Rabbits and Dogs</u> , <u>Investigative Radiology</u> , Vol. 29, June Supp. 2, pp. S139-S141, 1994		
DW		Meltzer et al., <u>Transmission of Ultrasonic Contrast</u> <u>Through the Lungs</u> , <u>Ultrasound in Med. &amp; Biol.</u> , Vol. 7, No. 4, 377-384, 1981		
DX	PR Newswire, April 1, 19	86		
DY	Ultrasound: Fundamentals	Swanson et al., Chapter 22, "Enhancement Agents for Ultrasound: Fundamentals", Pharmaceuticals In Medical Imaging, pp. 682-687 (1990)		
DZ	Ophir et al., "Contrast Ag Ultrasound in Med. & Biol.	gents in Diagnosti , Vol. 15, No. 4,	c Ultrasound", pp. 319-333 (1989)	
EA	Jacobs, "Intraocular gas m Current Eye Research, Vol			
EE	Lincoff et al., "Intravitr Bubbles", Arch. Ophthalmol		Perfluorocarbon	
EC		Lincoff et al., "Intravitreal Longevity of Three Perfluorocarbon Gases", Arch. Ophthalmol., 1980, 98, 1610-1611		
EI		Lincoff et al., "The Perfluorocarbon Gases in the Treatment of Retinal Detachment", Ophthalmology, 1983, 90(5), 546-551		
EF	Gardner et al., "A Survey America", Arch. Ophthalmol			
EF	EF Unger et al., "Liposomal MR Contrast Agents", J. Liposome Research, 1994, 4(2), 811-834			
EXAMINER		DATE CONSIDERED		

Page 51 of 71

				- Tuge 51 01 71					
For	m P	TO-1449 Modified	Docket No. 006086.00020	Serial No. TBA					
	Cit	tent and Publications ed by Applicant al sheets if necessary)	Applicant Evan C. Unger, e	t al					
U.S. Pate	Dep nt a	eartment of Commerce and Trademark Office	Filing Date TBA	Group TBA					
OTHER DO	CUME	NTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)					
	EG	Feinstein, Steven B., "Myo Echocardiography Today and College of Cardiology, 8(1	l Tomorrow," <i>Journ</i>	Imaging: Contrast al of the American					
	ЕН	Keller et al., "The Behavio Within the Microcirulation: A Contrast Echocardiography", C	. Basis for Their Use	During Myocardial					
EI Lincoff et al., "Perfluoro-n-butane: A Gas for Maximum Duration Retinal Tamponade," Arch Ophthalmology, 101:460-462 (1983)									
	EJ Remington's Pharmaceutical Sciences, John Hoover, managing ed., Mack Publishing Company, Easton, PA, pp. 295-298; 736; 1242-1244 (1975)								
	EK Handbook of Pharmaceutical Excipients, American Pharmaceutical Association, Washington, D.C. and The Pharmaceutical Society of Great Britain, London, England, pp. 181-183 (1986)								
	EL	Barnhart et al., "Characte Microspheres for Echocardi Investigative Radiology, 2	ography Contrast I	x™: Air-Filled Enhancement,"					
	EM	Levene et al., "Characteri Am., 87(Suppl.1):569-70	zation of Albunex	," J. Acoust. Soc.					
	EN	Miller et al., "Physiochemic General Anesthetics," J. Ame. 36(4):339-351 (1972)	cal Approaches to th r. Soc. Anesthesic	e Mode of Action of ologists,					
	EO	"Properties and Applicatio DuPont Freon Technical Bul and Company, Wilmington, D	letin B-2 (E.I. Du	Pont de Nemours					
ı	EP	"'Freon' Fluorocarbons: P DuPont Technical Bulletin Company, Wilmington, DE),	G-1 (E.I. DuPont o	lications" in de Nemours and					
	EQ	"Encyclopedia of Polymer S & Sons, New York, 1:164-16		ering," John Wiley					
	ER	"Concise Encyclopedia of P Kroschwitz, ed., John Wile	olymer Science and y & Sons, New Yor	d Engineering," J.					
	ES	Wheatley et al., "Contrast Development and Evaluation Biomaterials, 11:713-717 (	of Polymer-Coated	ostic Ultrasound: d Microbubbles,"					
EXAMINER			DATE CONSIDERED						

Form P	TO-1449 Modified	Docket No. 006086.00020	Serial No. TBA					
Cite	tent and Publications ed by Applicant I sheets if necessary)	Applicant Evan C. Unger, 6	et al					
	artment of Commerce and Trademark Office	Filing Date TBA	Group TBA					
OTHER DOCUME	NTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)					
ET	Arai et al., "Immunologi Generated by Alternative 1986, 6(9), 3232-3239	cally Distinct p53 Molecules Splicing", Mol. Cell Biol.,						
EU Arai et al., Complete Nucleotide Sequence of the Chromosomal Gene for Human IL-4 and its Expression", J. Immunol., 1989, 142(1), 274-282								
EV	Axel et al., "Transforma Prokaryotic and Eukaryot 1979, 8 (Supp. 3), 41							
EW	Barnes et al., "Gene The Review", Obstetrics and							
EX	Bratty et al., "The hammeribozyme", Biochim. Biop.							
EY	Delius et al., "Extracor Therapy", Lancet, 1995,		es for Gene					
EZ	Fiddes et al., "Structure hormone and chorionic son Acad. Sci. USA, 1979, 76	matomammotripin",						
FA	FA Foecking et al., "Powerful and versatile enhancer- promoter unit for mammalian expression vectors", Gene, 1986, 45, 101-105							
FB	Gambihler et al., "Permeal L1210 Mouse Leukemia Cells Us Membr. Biol., 1994, 141,	ing Lithotripter Sho						
EXAMINER		DATE CONSIDERED	·					

For	m P	TO-1449 Modified	Docket No. 006086.00020	Serial No.			
	Cit	tent and Publications ed by Applicant al sheets if necessary)	Applicant Evan C. Unger, et al				
		partment of Commerce and Trademark Office	Filing Date	Group TBA			
OTHER DO	CUME	NTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)			
	FC	Giddings et al., "Select Regulation of the Ancest Liver", Mol. Endocrinol.	ral Rat Insulin	II Gene in Fetal			
	FD	Higuchi et al., "Charact Factor VIII Gene by Dire Genomic DNA", Genomics,	ct Sequencing of				
FE Kawabata et al., "Effect of second-harmonic superimposition in efficient induction of sonoche effect", Ultrasonics Sonochem., 1996, 3, 1-5							
	FF	Lauer et al., "Towards a N Mediated DNA Transfer", J. C 226					
	FG	Maier et al., "Thymocytes in Hypothalamic Luteinizing Horm Mol. Neurobiol., 1992, 1	one-Releaseing Hormo				
	FH	Mobley et al., "Low Energy Lithostar: Treatment Resureteral Calculi", J. Ur	ults with 19,962	Genal and			
	FI	McKay, "Structure and fur ribozyme: An unfinished					
	FJ	Mitsudomi et al., "Detec Mutations in Bronchial B Lung Cancer", Chest, 199	iopsy Samples in	Patients with			
**	FK	Rubin et al., 31st Annua of Clinical Oncology, Ma					
	FL	Thompson, "At Age 2, Gen- Science, 1992, 258, 744-	ne Therapy Enters a Growth Phase" -746				
	FM	Trucco et al., "Rapid Dete $\beta$ -Alleles as Markers", Diabe					
EXAMINER			DATE CONSIDERED	·			

For	m P	TO-1449 Modified	Docket No. Serial No. TBA					
	Cit	tent and Publications ed by Applicant al sheets if necessary)	Applicant Evan C. Unger, et al					
		partment of Commerce and Trademark Office	Filing Date Group TBA TBA					
OTHER DO	CUME	NTS (Including Author, Ti	itle, Date, Pertinent Pages, Etc.)					
	FN	Tsujimoto et al., "Analys: protein products of bcl-2, th lymphoma", Proc. Natl. Aca	e gene involved in 1	numan follicular				
	FO	Zhang et al., "Ultrasonic of High Efficiency Genetic Tr Agric. Sin., 1991, 24, 8	ansformation System	for Tobacco", Sci.				
	_							
-								
EXAMINER			DATE CONSIDERED					

Page 55 of 71

		-1449 Modif		Γ			Seria TBA	Docket No. Serial No. 006086.00020 TBA				
C:	ited	by Applican heets if no	t		Applicant Evan C. Unger, et al							
		it of Commendemark Offi		F	Filing Date Group TBA TBA							
			U.S. PA	TEN	T DOCUMEN	TS	-					
Examiner Initial		Document No.	Date	1	Name		Class	s	Subc	lass		
	FP	4,276,885	7/7/81		Tickner e	t al.	128		660			
	FQ 4,344,929 8/17/82					al.	424		15			
FR 4,466,442 8/21/84					Hilmann e	t al.	128		653			
	FS 4,533,254 8/6/85				Cook et a	1.	366		176			
	FT	4,572,203	2/25/86	1	Feinstein		128		661			
	FU	4,603,044	7/29/86	(	Geho et al.		424		9			
	FV	4,675,310	6/23/87	(	Chapman et al.		514		6			
	FW	4,718,433	1/12/88	1	Feinstein		128		660			
	FX	4,728,575	3/1/88	(	Gamble et al.		428		402.2			
	FY	4,728,578	3/1/88	1	Higgins et al.		428		462			
		F	OREIGN PA	ATE	NT DOCUME	NTS						
Examiner Initial		Document 1	No.	Da	te	Country		,	ranslat: ES	ion NO		
	FZ	US85/0116	L	3/	14/85	PCT		х				
	GA 2193095A				3/88	Great Britain		х				
	GB 0 361 894				4/90	EPA		Х		·		
	GC WO 86/01103				27/86	PCT		Х				
	GD	0 272 091		6/22/88 EPO X								
EXAMINER	· · · · · · · · · · · · · · · · · · ·				DATE CON	SIDERED						

	· · · · · · · · · · · · · · · · · · ·					176	ige 5	00 01	71	
Form PTO-14	•			ocket No 006086.00		Ser TE	cial ŠÁ	No	•	
List of Patents Cited by	and Publica Applicant	ations	Ar	oplicant				*	-	
(Use several she	ets if nece	essary)	E	Evan C. Unger, et al						
U.S. Department				Filing Date Group						
Patent and Trade	mark Office	<del></del>	i	TBA		TE	BA			
	υ.	S. PAT	ENT	DOCUME	NTS					
Examiner Initial	Document No.	Date		Name			Cla	ss	Subo	class
GE	4,737,323	4/12/8	8	Martin	et al.		264		4.3	
GF	GF 4,774,958 10/4/8						128		660	.01
GG	4,863,717	9/5/89		Keana			424		9	• •
GH	GH 4,844,882 7/4/89				et al.		424		9	
GI	4,192,859	3/11/8	0 Mackaness et a		ess et al	L.	424		5	
GJ	4,921,706	5/1/90		Robert	s et al.		424		450	
GK	4,790,891	12/13/	/88 Halliday		ay et al.		149		2	
GL	4,162,282	7/24/79		Fulwyl	er et al.		264		9	
GM	4,938,947	7/3/90		Nicolau et al.			424		1.1	
GN	4,900,540	2/13/9	0	O Ryan et al.			424		9	
	FOR	EIGN PA	TEN	T DOCUM	ENTS					
Examiner De Initial	ocument No.		Dat	:e	Country			Tra YES	nslat:	ion NO
GO W	0 91/00086		01/	′10/91 ·	PCT (Fra	nce	)	X (abst	tract)	
GP W	0 91/15244		10/	17/91	PCT			Х		
GQ 0 338 971			10/	′25/89	EPO (Ger	man	у)	X (absi	tract)	
GR 0 107 559		05/	02/84	EPO (Fra	ince	)	X (abstract)			
GS W	0 82/01642		5/2	27/82	PCT			Х		,
	CAMINER									

Page 57 of 71

	<del></del>	<del></del>			<del></del>		- ugc		01 /1	
		-1449 Modif			Docket No 006086.000	- 1	Seria TBA	11	No.	
C:	ited	s and Publi by Applican sheets if ne	.t		Applicant Evan C. Unger, et al					
		nt of Commen ademark Off			Filing Date Group TBA TBA					
		· · · · · · · · · · · · · · · · · · ·	U.S. PA	ΓEI	NT DOCUMEN	TS				_
Examiner Initial		Document No.	Date		Name		Clas	s	Subc	lass
	GT	4,544,545	10/1/85		Ryan et a	1.	424		1.1	
	GU	4,331,654	5/25/82		Morris		424		38	
	GV 3,532,500 10/6/70				Priest et	96		91		
	GW	4,310,506	1/12/82		Baldeschwieler et al.		424		1	
	GX	4,310,505	1/12/82		Baldeschwieler et al.			424		
	GY	4,586,512	5/6/86		Do-huu et	al.	128		660	
	GZ	4,620,546	11/4/86		Aida et al.		128		660	
	на	4,658,828	4/21/87		Dory		128		660	
	нв	4,865,836	9/12/89		Long, Jr.	*	424		5	
	НC	4,646,756	3/3/87		Watmough	et al.	128		804	
		F	OREIGN PA	ATI	ENT DOCUME	NTS				
Examiner Initial		Document 1	No.	Da	ate	Country		Tr YE	anslat: ES	ion NO
	HD	WO 89/0504	40	6	/1/89	PCT		Х		
	HE	WO 86/0023	3.8	1	/16/86	PCT	<u> </u>	Х		
	HF WO 90/04943				/17/90	PCT		х		
* <u>*</u>	HG	WO 80/023	65	1:	1/13/80	PCT		Х		
EXAMINER					DATE CON	STDERED		L	<del> </del>	
					1 2.1.2.11 0.014		·			

Page 58 of 71

	<del> </del>					T					_
		449 Modifie			006086.0		Ser TB/	ial A	No.	-	
Cit	ed by	and Publica Applicant eets if nece		A	Applicant Evan C. Unger, et al						
		of Commerce		F	Filing Date Group TBA TBA						
		U.	S. PA	TEN	T DOCUME	NTS					
Examiner Initial		Document No.	Date		Name		Cla	ass	Sub	cla	ss
	нн	4,893,624	4 1/16/90		Lele		128	3	399	)	
	HI	4,689,986	9/1/8	3.7	Carson	et al.	73		19		١
	HJ 4,657,756 4/14/8				Rasor	et al.	424	4 ·	9		
	HK	4,781,871	11/1/	88	O Cerny et al.  Payne et al.		264 252 424 252		4.3		
	HL	4,957,656	9/18/	90					311		
	НМ	4,830,858	5/16/	89					450 307		
	HN	4,684,479	8/4/8	37							
	но	5,215,680	6/1/9	3	D'Arrigo		252		307		
	HP	5,171,755	12/15	/92	Kaufma	n	514	1	759	759	
	HQ	4,898;734	2/6/9	0	Mathiow	itz et al.	424		426		
	•	FOR	EIGN F	ATE	NT DOCUM	ents					
Examiner Initial		Document 1	No.	Dat	e ·	Country		Tran YES	slati	on	NO
	HR	WO 93/058:	19	1/4	/93	PCT		Х			
	HS	WO 93/068	69	4/1	5/93	PCT		Х			
	HT WO 93/20802			10/	28/93	PCT		Х			
	ни	SHO 63-60	943	3/1	7/88	Japan		Х			
	HV	0 554 213	A1	8/4	/93	EPO		Х			اولوست
EXAMINER					DATE CO	NSIDERED					

Page 59 of 71

Form	PTO-1	.449 Modifie	d	11	ocket No 006086.000		Seria TBA	l No	· .		
Cit	ed by	and Publica Applicant eets if nece		A	Applicant Evan C. Unger, et al						
		of Commerce emark Office		и	Filing Date Group TBA TBA					-	
		υ.	S. PAT	'EN'I	DOCUMEN	TS					
Examiner Initial		Document No.	Date		Name		Cla	ss	Subc	lass	
	HW	4,776,991 10/11/		88	Farmer	et al.	264		4.3		
	HX 5,088,499 02/18/						128		662.	2	
HY 5,194,266 03/16/				93	Abra et	al.	424		450		
	HZ- 5,198,225 03/30/9				Meybeck et al.				450		
	IA	4,927,623	5/22/9	0	Long, Jr.		424		5		
	IB	4,442,843	4/17/8	4	4 Rasor et al.		128		660		
	IC	4,681,119	7/21/8	7	Rasor et al.		128		660		
	ID	5,049,388	9/17/9	1	Knight et al.		424		450		
	IE	4,315,514	2/16/8	2	Drewes et al.		128		653		
	IF	4,569,836	2/11/8	6	Gordon		424 1/1				
		FOR	EIGN PA	TEN	T DOCUME	nts					
Examiner Initial		Document No	o.	Dat	e.	Country		Tran YES	nslati	on NO	
	IG	0 314 764 1	B1	9/9	9/92	EPO		Х			
	IH 0 458 745 A1				/27/91	EPO		Х		·	
	II 0 216 730					EPO		х			
IJ WO 93/13809				7/2	22/93	PCT		Х			
	<u></u>			Т			·· ·				
EXAMINER	<u></u>				DATE CON	SIDERED				·	

		<del></del>					Page	60	of 71	<u> </u>	
		-1449 Modified		11	cket No 06086.000		Seria TBA	1	No.		
C:	ited	by Applicant heets if neces			Applicant Evan C. Unger, et al						
		nt of Commerce ademark Office		Filing Date TBA					Group TBA _		
		υ.	S. PAT	ENT	DOCUMEN	TS					
Examiner Initial	itial				Name		Clas	S	Subc	lass	
	IK	4,426,330	1/17/	84	Sears		260		403		
	IL	4,534,899	8/13/	85	Sears		260	260		•	
	IM	5,271,928	12/21	./93	Schneider et al.		424		9		
	IN	4,229,360	10/21	./80	Schneider et al.		260		403		
	10	B1 4,229,360	11/5/	91	Schneid	er et al.	260		403		
	IP	4,089,801	5/16/	78	Schnei	der (	252		316		
	IQ	4,224,179	9/23/	80	Schnei	hneider		-	316		
	IR	4,987,154	1/22/	91	Long,	Jr.	514		772		
	IS	5,219,538	6/15/	93	Henders	on et al.	428		402.2	2	
	IT	5,316,771	5/31/	94	Barenho	lz et al.	424		450		
		FORE	IGN PA	TENT	DOCUME	nts					
Examiner Initial		Document No.		Date	}	Country			ranslat: ES	ion NO	
	IU	WO 92/10166		6/25	5/92	PCT		х			
	IV	0 324 938		7/26	5/89	EPO		х			
	IW	0 231 091		8/5/	/87	EPO	х				
			<u>.                                    </u>				•				

DATE CONSIDERED

EXAMINER

Páge 61 of 71

JK WO 92/21382 JL WO 93/17718		10/10/92 9/16/93	PCT		x				
	JJ WO 92/17436				PCT		х		
	JI	WO 92/172		10/15/92	PCT	· · · · · · · · · · · · · · · · · · ·	X		
	JH	WO 92/172:	12	10/15/92	PCT		<u>.</u>		
Examiner Initial		Document 1	No.	Date	Country		Translat YES	ion NO	
		F	OREIGN PA	TENT DOCUME	ents				
	JG	5,000,960	3/19/91	Wallach		424	450		
	JF	5,013,556	5/7/91	Woodle et	al.	424	450		
	JЕ	5,114,703	5/19/92	Wolf et a	Wolf et al.		5		
-	JD	5,008,050	4/16/91	Cullis et al.		264	4.3		
	JC	4,895,719	1/23/90	Radhakris	shnan	424	45		
	JВ	5,213,804	5/25/93	Martin et	al.	424	450		
	JA	4,877,561	10/31/89	Iga et al	Iga et al.		4.3		
	IZ	5,045,304	9/3/91	Schneider	et al.	424	9	<del></del>	
· · · · · · · · · · · · · · · · · · ·	IY	3,873,564	3/25/75	Schneider	et al.	260	309.	6	
<del></del>	IX	5,195,520	3/23/93	Schlief e	et al.	128	660.	02	
Examiner Initial		Document No.	Date	Name		Class	Subc	lass	
			U. S. PAT	ENT DOCUMEN	ITS				
		t of Commer demark Offi		Filing Da TBA	Filing Date Group TBA TBA				
C:	ited 1	by Applican heets if ne	t	Applicant <b>Evan C. Unger,</b> et al					
		-1449 Modif s and Publi		Docket No. Serial No. TBA					

Page 62 of 71

		-1449 Modif			Docket No. 006086.000		Seria TBA	1	No.		
Ci	ited 1	s and Publi by Applican sheets if ne	t		Applicant Evan C. Unger, et al						
		nt of Commended			Filing Date Group TBA TBA						
			U.S.PA	TEI	NT DOCUMEN	TS					
Examiner Initial		Document No.	Date		Name	Clas	s	Subcl	ass		
	JМ	5,310,540	5/10/94		Giddey et	al.	424	•	9		
	JN 5,425,366 6/20/95					et al.	128		662.0	2	
	JO 4,775,522 10/4/88					•	424		9		
	JP 4,731,239 3/15/88				Gordon		424		9		
	JQ	5,350,571	9/27/94		Kaufman e	t al.	424		9		
	JR	4,615,879	10/7/86		Runge et al.		424		9		
	JS	5,380,519	1/10/95		Schneider et al.		424		9		
	JT	5,393,524	2/28/95		Quay		424		9		
	JU	5,196,183	3/23/93		Yudelson	424 9		9	9		
	JV	4,996,041	2/26/91		Arai et al.		424 9				
		F	OREIGN PA	ATI	ENT DOCUME	NTS		•			
Examiner Initial		Document I	No.	Da	ate	Country	_		ranslati ES	ion NO	
	JW	WO 94/1673	39	8.	/4/94	PCT		x			
	JX	WO 95/070	72	3,	/16/95	PCT		- 0	artial claims nly		
	JY EP 0 077 752 B1				/5/86	EP		Partial -claims only			
			<del></del>	-							
EXAMINER				<u> </u>	DATE CON	SIDERED		L			

				-			· uge		01 /1		
Form PTO-1449 Modified							Seria † TBA	Serial No.			
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)					Applicant Evan C. Unger, et al						
	U.S. Department of Commerce Patent and Trademark Office				Filing Dat	e	Group · TBA				
•		·	U.S.PA	ΓEI	NT DOCUMEN	TS				Ţ ·	
Examiner Document Date Initial No.					Name		Class	3	Subcl	.ass	
	JZ	5,147,631	9/15/92		Glajch et	al.	424		9		
	KA	5,186,922	2/16/93		Shell et al.		128		654		
	КВ	4,428,924	1/31/84		Millington		424 4 .		4 .		
·	KC	5,413,774	5/9/95		Schneider et al.		424 9.51				
	KD	5,409,688	4/25/95		Quay		424	9			
	KE	5,410,516	4/25/95		Uhlendorf et al.		367	7			
	KF	5,305,757	4/26/94		Unger et	al.	128	662.02		)2	
	KG	5,334,381	8/2/94		Unger		424		9		
	КН	5,123,414	6/23/92		Unger		128	128 654			
	KI	5,433,204	7/18/95		Olson		128 661.		661.0	8	
		F	OREIGN PA	A'T'	ENT DOCUME	NTS					
Examiner Initial		Document 1	No.	D	ate	Country		•	ranslat: ES	ion NO	
	КJ	WO 90/043	84	5	/3/90	PCT		х			
	KK	WO 94/098	29	5	/11/94	94 PCT		х			
	KL	WO 94/213	WO 94/21302		/29/94	PCT	•	Х			
	KM	WO 91/128	WO 91/12823		/5/91	PCT	X				
	KN	0 357 164	B1 .	1	0/23/91	EPO		- (	artial claims nly		
EXAMINER					DATE CON	SIDERED					

Page	64	Ωf	71
raue	04	UΙ	71

Form PTO-1449 Modified List of Patents and Publications					Docket No. Serial No. TBA						
Cited by Applicant (Use several sheets if necessary)				- 11	Applicant Evan C. Unger, et al						
		t of Commer demark Offi			Filing Dat TBA	:e	Group TBA				
			U.S. PAT	ΓEI	NT DOCUMEN	TS					
Examiner Initial		Document Date		Name		Class		Subclass			
	ко	5,445,813	8/29/95		Schneider	et al.	424		9.51		
	KP	5,141,738	8/25/92		Rasor et	al.	424		2		
	KQ	4,981,692	1/1/91		Popescu et al.		424		422		
	KR	5,149,319	9/22/92	22/92 Unger			604		22		
	KS	5,209,720	5/11/93		Unger		604	4 22			
	кт	5,228,446	7/20/93		Unger et al.		128 .	662.02		02	
	кu	5,230,882	7/27/93		Unger		424		9		
	KV	5,352,435	10/4/94		Unger	-,	424	4 9			
	KW	5,190,982	3/2/93		Erbel et	al.	521 56		56	56	
	KX	5,137,928	8/11/92		Erbel et	al.	521		56		
,		. <b>F</b>	OREIGN PA	AT!	ENT DOCUME	NTS					
Examiner Initial		Document	No.	D	ate	Country			ranslat ES	ion NO	
	KY	0 320 433	A2	1	2/2/88	EPO		Al on	bstract aly		
	KZ	0 467 031	A2	5	/13/91	EPO		Х			
	LA	0 243 947		4	4/29/87 EPO		Х				
	LB	WO 96/040	18	2	/15/96	PCT	Х				
	LC	WO 96/097	93	4	/4/96	PCT		Х	· · · =		
EXAMINER	<del></del>				DATE CON	SIDERED					

Page 65 of 71

[				1 age 03 01 71					
Form PTO-1449 Modified  List of Patents and Publications  Cited by Applicant  (Use several sheets if necessary)				11	Docket No. 006086.00020		Serial No. TBA		
				Applica Evan C	Applicant Evan C. Unger, et al				
U.S. Department of Commerce Patent and Trademark Office				Filing TBA	Date	Group TBA			
			U.S. PAT	ENT DOCU	MENTS				
Examiner Initial		Document Date		Name	Name		s Subc	lass	
	LD	5,205,287	4/27/93	Erbel	et al.	128	632		
	LE	5,470,582	11/28/95	Supers	axo et al.	424	489		
	LF	5,529,766	6/25/96	Klaven	ess et al.	424	9.52		
•	LG	5,487,390	1/30/96	Cohen	et al.	128	662.	02	
	LH	5,531,980	7/2/96	Schnei	der et al.	424	9.52		
	LI	5,536,489	7/16/96	Lohrma	nn et al.	424	9.52		
	LJ	5,536,490	7/16/96	Klaven	ess et al.	424	9.52	-	
	LK	5,315,997	5/31/94	Widder	Widder et al.		653.	3	
	LL	5,469,854	11/28/95	Unger	et al.	128	128 662.		
	LM	5,505,932	4/9/96	Grinst	aff et al.	424	9.3		
·		F	OREIGN PA	ATENT DOC	UMENTS				
Examiner Initial		Document 1	No.	Date	Country		Translat YES	ion NO	
	LN	WO 95/065	18	3/9/95	PCT		Х		
	LO	EP 441,46	8 A2	8/14/91	EPO	-	Х		
	LP	EP 357,16	EP 357,163 A1		EPO		х	<u> </u>	
	LQ	EP 0 727	225 A2	8/21/96	EPO		Х		
	LR	WO 94/064	77	03/31/94	03/31/94 PCT		X.		
EXAMINER				DATE	CONSIDERED		<u> </u>		

Page 66 of 71

		<del></del>	<del></del>	<del>                                     </del>	rage o	6 01 /1
F	orm	PTO-1449 Modifi	Docket No. 006086.00020	Serial TBA	No.	
	Cit	atent and Publiced by Applicant al sheets if nec	Applicant Evan C. Unger,	et al		
		partment of Command Trademark O		Filing Date	Group TBA	<u>.</u>
-		υ.	S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date.	Name	Class	Subclass
	LS	5,540,909	7/30/96	Schutt	424	9.52
	LT	5,496,535	3/5/96	Kirkland	424	9.37
	LU	5,344;930	9/6/94	Riess et al.	544	84
	LV	5,560,364	10/1/96	Porter	128	662.02
	LW	5,562,893	10/08/96	Lohrmann	424	9.52
	LX	5,567,413	10/22/96	Klaveness et al.	424	9.51
	LY	5,567,414	10/22/96	Schneider et al.	424	9.52
	LZ	5,567,765	10/22/96	Moore et al.	524	801
	MA	5,552,133	09/03/96	Lambert et al.	424	9.52
		FORE	IGN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Trai YES	nslation NO
	MB	WO 95/23615	09/08/95	PCT	Х	
	MC	62 286534	12/87	Japan	X (Abstract Only)	
	MD	1,044,680	10/66	Great Britain	х	
	ME	WO 96/36286	11/21/96	PCT	х	
-	MF	WO 92/11873	7/23/92	PCT	X (Abstract Only)	
EXAMINER				DATE CONSIDERED		

Page 67 of 71

			· · · · · · · · · · · · · · · · · · ·	T	rage	o/ OT /I
F	orm	PTO-1449 Modifi	Docket No. 006086.00020	Serial TBA	No.	
	Cit	atent and Publiced by Applicant al sheets if ne	Applicant Evan C. Unger, et al			
		partment of Compand Trademark O		Filing Date	Group TBA	
		υ.	S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Name _	Class	Subclass
	MG	3,015,128	01/02/62	Sommerville et al.	18	2.6
	МН	3,594,326	07/20/71	Himmel et al.	252	316
	MI	3,732,172	05/08/73	Herbig et al.	252	316
	MJ	3,945,956	03/23/76	Garner	260	2.5B
	MK	4,108,806	08/22/78	Cohrs et al.	521	54
	ML	4,179,546	12/18/79	Garner et al.	521	56
	MM	4,420,442	12/13/83	Sands	264	13
	MN	4,421,562	12/20/83	Sands et al.	106	75
	МО	4,540,629	09/10/85	Sands et al.	428	402
· · · · · · · · · · · · · · · · · · ·	MP	4,549,892	10/29/85	Baker et al.	65	21.4
	,	FORE	IGN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Tran YES	nslation NO
	MQ	WO 90/01952	3/8/90	PCT	X (Abstract Only)	
	MR	AU-B-30351/89	3/18/93	Australia	х	
	MS	WO 93/00933	01/21/93	PCT	х	
	MT	WO 94/28780	12/22/94	PCT	х	
	MU	WO 89/02464	03/23/89	PCT	х	
	MV	AU 641363	03/08/90	Australia	х	
EXAMINER				DATE CONSIDERED		

			<del></del>	<del></del>	, age, c	00 01 /1
F	orm	PTO-1449 Modifi	Docket No. 006086.00020	Serial	No.	
	Cit	atent and Publiced by Applicant al sheets if nec	Applicant Evan C. Unger, et al			
		partment of Command Trademark Of		Filing Date TBA	Group TBA	
	-	υ.	S. PATENT 1	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	MW	5,019,370	05/28/91	Jay et al.	424	4
	MX	5,205,290	04/27/93	Unger	128	653.4
- 4	MY.	4,822,534	04/18/89	Lencki et al.	264	4.3
	MZ	3,293,114	12/20/66	Kenaga et al.	162	168
	NA	3,479,811	11/25/69	Walters	57	153
	NB	3,488,714	01/06/70	Walters et al.	161	161
	NC.	3,615,972	10/26/71	Morehouse et al.	156	79
	ND	5,078,994	01/07/92	Nair et al.	424	501
	NE	4,138,383	02/06/79	Rembaum et al.	260	29.7H
	NF	4,789,501	12/06/88	Day et al.	252	645
	NG	3,960,583	06/01/76	Netting et al.	106	122
		FORE	IGN PATENT	DOCUMENTS		-
Examiner Initial		Document No.	Date	Country	Trai YES	nslation NO
		·				
,						
		·				
		·				
EXAMINER				DATE CONSIDERED		
<del></del>		· · · · · · · · · · · · · · · · · · ·				

Page 69 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. NH 5,362,478 11/8/94 Desai et al. 424 9 NI 5,281,408 1/25/94 Unger 424 4 NJ 5,456,900 10/10/95 Unger 424. 9.4 NK 5,527,521 6/18/96 Unger 424 93 8/20/96 5,547,656 NLUnger 424 9.4 MM 10/25/94 5,358,702 Unger 424 9 1/15/91 NN 4,985,550 Charpiot et al. 536 18.4 NO 5,498,421 3/12/96 Grinstaff et al. 424 450 NP 4,993,415 2/19/91 Long 128 653 A NQ 5,573,751 11/12/96 Quay 424 9.52 03/04/97 NR 5,606,973 Lambert et al. 128 662.02 FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Translation Initial YES DATE CONSIDERED EXAMINER

Page 70 of 71

	Pa			006086.00020	TBA	No.
	lite	tent and Publiced by Applicant l sheets if nec	Applicant Evan C. Unger, et al			
		eartment of Comm and Trademark Of		Filing Date	Group TBA	
		U.	S. PATENT I	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
N	ıs	5,558,094	09/24/96	Quay	128	662.02
N	ΙΤ	5,558,853	09/24/96	Quay	424	9.5
N	υ	5,558,854	09/24/96	Quay	424	9.52
N	1/	5,558,855	09/24/96	Quay	424	9.5
N	ım	5,595,723	01/21/97	Quay	424	9.5
N	1X	5,585,112	12/17/96	Unger et al.	424	450
N	ĮΥ	5,556,610	09/17/96	Yan et al.	424	9.52
N	ız	5,578,292	11/25/96	Schneider et al.	424	9.51
0	)A	4,933,121	06/12/90	Law et al.	264	4.3
0	В	5,315,998	05/31/96	Tachibana et al.	128	660.01
0	C	5,620,689	04/15/97	Allen et al.	424	178.1
0	Œ	5,643,553	07/01/97	Schneider et al.	424	9.52
0	Œ	5,502,094	03/26/96	Moore et al.	524	145
0	F	4,265,251	05/05/81	Tickner	128	660
0	G	5,354,549	10/11/94	Klaveness et al.	424	3
		FORE	IGN PATENT	DOCUMENTS	· · · · · · · · · · · · · · · · · · ·	
Examiner Initial				Country	Tran YES	nslation NO
EXAMINER				DATE CONSIDERED		

Page 71 of 71

F	orm	PTO-1449 Modifi	Docket No.	Serial	<del></del>	
•	OI III	· ·	006086.00020	TBA	NO.	
	Cit	atent and Publiced by Applicant al sheets if nec	Applicant Evan C. Unger, et al			
		partment of Command Trademark O		Filing Date	Group TBA	1
		υ.	S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
	ОН	5,501,863	03/26/96	Rössling et al.	424	489
	OI	5,605,673	02/25/97	Schutt et al.	424	9.51
	OJ	5,626,833	05/06/97	Schutt et al.	424	9.52
	ОК	5,639,443	06/17/97	Schutt et al.	424	9.52
	OL	3,650,831	03/21/72	Jungermann et al.	134	27
	OM	3,968,203	07/06/76	Spitzer et al.	424	47
	ON	4,027,007	05/31/77	Messina	424	46
	00	5,205,290	04/27/93	Unger	128	653.4
	OP	5,562,892	10/08/96	Kirk et al.	423	555 .
	ΟQ	5,276,145	01/04/94	Bottenstein	530	399
	OR	5,532,108	07/02/96	Volgelstein	435	240.2
	os	5,539,094	07/23/96	Reed et al.	536	23.5
	OT	5,542,935	08/06/96	Unger et al.	604	190
	OU	5,558,092	09/24/96	Unger et al.	128	660.03
		FORE	GN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Trai YES	nslation NO
		·				
				DAME CONCERNO		
EXAMINER		<del></del>		DATE CONSIDERED		